RAND FINANCIAL SERVICES, INC. CUSTOMER ACCOUNT APPLICATION

Individual/Joint Account

Account Title	Social Security #	
Account Mailing Address	Telephone Number	Fax # or E-mail Address for Statements
City State Zip Code	Country	
Name *(Indicate beneficial owner if different from Account Title)*	Date of Birth	Marital Status Married Single
Home Address *(If different from Mailing Address)*	If married, Spouse's full name	
City State Zip Code	Home Telephone Number	
Current Employer *(Indicate if retired, and list last occupation)*	Years Employed	
Address	Business Telephone Number	
City State Zip Code	Nature of Business Job Title	
Spouse's Employer *(Indicate if retired, and list last occupation)*	Years Employed	
Address	Business Telephone Number	
City State Zip Code	Nature of Business Job Title	

Years of Commodity Futures or Options Trading Experience ________ Years of Securities or Securities Options Trading Experience _________

Name of Brokerage Firms at which Customer(s) or Beneficial Owner(s) currently maintains or previously maintained an account.

________________________________ Account Number ________________________________

________________________________ Account Number ________________________________

________________________________ Account Number ________________________________

Does any other person other than the customer control, manage or direct trading in this account? ____ Yes ____ No

If yes, who maintains the Power of Attorney? Name ________________________________

Relationship to Customer ________________________________

Note: If this is a discretionary account, please complete Rand Financial Services, Inc.'s discretionary forms.

Trading Objectives: ___Speculative __ Hedging If Hedging, what is the nature of your business?

If Hedge Account, indicate what commodities are to be hedged:

Does anyone else have a financial interest of 10% or more in or guarantee the account? ___ Yes ___ No

If yes, indicate whom and provide details: Name ________________________________ Relationship ______________

Do you or the beneficial owner have an interest in any other trading accounts with other firms? ___ Yes ___ No

If yes, indicate the name of the Firm and Account: ________________________________

Do you currently have or have you previously had any unsatisfied debit balances at a brokerage firm? ___ Yes ___ No

If yes, indicate the name of the Firm and provide details: ________________________________

Have you ever been a party to any litigation, arbitration or reparations proceeding against any brokerage firm? ___Yes ___ No

Has Customer currently, or within the last ten years, been involved in any investigations or court proceedings (including bankruptcy) involving any governmental or regulatory agency or private party? ___ Yes ___ No If yes, please explain: ________________________________

Is the Customer or an immediate family member:

A member of the National Futures Association or any Exchange?	❑ Yes	❑ No
Registered with the CFTC, NFA, SEC or NASD?	❑ Yes	❑ No
A principal or employee or related to any employee of any brokerage firm, CFTC, NFA or any Exchange?	❑ Yes	❑ No

If yes, please provide details: ________________________________

Rand Financial Services, Inc. requires financial statements from its customers to open a commodity trading account.
Customers must complete the following *confidential* financial statement:

Liquid Assets:		**Short Term Liabilities:**	
Cash and cash equivalents	$ ____________	Accounts Payable	$ ____________
Marketable Securities	$ ____________	Loans/Notes Payable	$____________
Other Liquid Assets (Explain)	$ ____________	Other Short Term Liabilities (Explain)	$ ____________
Total Liquid Assets	$ ____________		
Other Assets:		**Long Term Liabilities:**	
Non Marketable Securities	$ ____________	Real Estate Mortgages	$ ____________
Notes and Receivables	$ ____________	Notes Payable	$ ____________
Cash value life insurance	$ ____________	Income taxes payable	$ ____________
Real Estate (principal residence)	$ ____________	Other taxes payable	$ ____________
Real Estate (excluding home)	$ ____________	Other Liabilities (Explain)	$ ____________
Other Assets (Explain)	$ ____________		
TOTAL ASSETS	**$** ____________	**TOTAL LIABILITIES**	**$** ____________

NET WORTH **$** ____________
(Total Assets less Total Liabilities)

Current Annual Income:
___ $100,000 or over ___ $75,000 - $100,000 ___ $50,000 - $75,000 ___ $25,000 - $50,000 ___ under $25,000 (Please Specify)$ ____________

Bank References:

Name of Bank	Address (City/State)	Telephone	Account Number
____________	____________	____________	____________
____________	____________	____________	____________

The undersigned warrants and represents to Rand Financial Services, Inc. that the Application and Financial Statement completed above are true and correct, until written notice of material changes is delivered to Rand Financial Services, Inc.

The undersigned authorizes Rand Financial Services, Inc. ("Rand") to make whatever credit inquiries it deems necessary in connection with the undersigned's account application, or in connection with transactions in the undersigned's account with Rand. The undersigned authorizes any person or consumer reporting agency to compile and furnish to Rand any information it may have or obtain in response to such credit inquiries, and agrees that such information shall remain Rand's property whether or not an account is opened.

Title of Account ____________________ Date ____________________

Customer Signature ____________________

REQUEST FOR TAXPAYER IDENTIFICATION NUMBER / CERTIFICATE OF FOREIGN STATUS

Under penalties of perjury, I certify that:

Enter Taxpayer Identification Number _____ _____ _____ - _____ _____ - _____ _____ _____ _____

1. The number shown on this form is my correct taxpayer identification number (or, if marked "applied for", I am waiting for a number to be issued to me), and I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding.

OR

2. I am not a U.S. Citizen or U.S. Resident and I have not been, and do not plan to be, in the U.S. for more than 183 days during any calendar year and am not engaged, and do not plan to be engaged during the year, in a U.S. Trade or business that has effectively connected gains from Broker transactions or my country has a tax treaty with the U.S. that exempts transactions from U.S. taxes. ___________ (**Initial please**)

Date ____________________

Signature ____________________

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Joint Account

Account Title	Social Security #	
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City State Zip Code	Country	
Name *(Indicate beneficial owner if different from Account Title)*	Date of Birth	Marital Status Married Single
Home Address *(If different from Mailing Address)*	If married, Spouse's full name	
City State Zip Code	Home Telephone Number	
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Is the Customer or an immediate family member:

A member of the National Futures Association or any Exchange?	❑ Yes	❑ No
Registered with the CFTC, NFA, SEC or NASD?	❑ Yes	❑ No
A principal or employee or related to any employee of any brokerage firm, CFTC, NFA or any Exchange?	❑ Yes	❑ No

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Date ______________________________

Signature ______________________________